

# On Conceptually Simple Algorithms for Variants of Online Bipartite Matching

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**Abstract.** We present a series of results regarding conceptually simple algorithms for bipartite matching in various online and related models. We first consider a deterministic adversarial model. The best approximation ratio possible for a single-pass deterministic online algorithm is  $1/2$ , which is achieved by any greedy algorithm. Dürr et al. [15] recently presented a 2-pass algorithm called CATEGORY-ADVICE that achieves approximation ratio  $3/5$ . We extend their algorithm to multiple passes. We prove the exact approximation ratio for the  $k$ -PASS CATEGORY-ADVICE algorithm for all  $k \geq 1$ , and show that the approximation ratio converges to the inverse of the golden ratio  $2/(1 + \sqrt{5}) \approx 0.618$  as  $k$  goes to infinity. The convergence is extremely fast — the 5-PASS CATEGORY-ADVICE algorithm is already within 0.01% of the inverse of the golden ratio. We then consider two natural greedy algorithms — MINDEGREE and MINRANKING. We analyze MINDEGREE in the online IID model and show that its approximation ratio is exactly  $1 - 1/e$ . We analyze MINRANKING in the priority model and show that this natural algorithm cannot obtain the approximation of the RANKING algorithm in the ROM model.

**Keywords:** online bipartite matching · adversarial model · online IID model · priority model · CATEGORY-ADVICE algorithm · MINGREEDY algorithm

## 1 Introduction

Maximum bipartite matching (MBM) is a classical graph problem. Let  $G = (U, V, E)$  be a bipartite graph, where  $U$  and  $V$  are the vertices on the two sides, and  $E \subseteq U \times V$  is a set of  $m$  edges. The celebrated  $O(m\sqrt{n})$  Hopcroft-Karp algorithm [21] was discovered in 1973 where  $n$  is the number of vertices. The first improvement in the regime of relatively sparse graphs came forty years later when Madry [26] developed a  $\tilde{O}(m^{10/7})$  algorithm based on electrical flows. For dense graphs, i.e., when  $m \approx n^2$ , Mucha and Sankowski [31] describe an

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algorithm running in time  $O(n^\omega)$ , where  $\omega \leq 2.373$  is the matrix multiplication constant. We refer the interested reader to [27] and [14] and references therein for more information on MBM in the offline setting. While current algorithms for solving MBM optimally in the offline setting are reasonably efficient, they still fall short of linear time algorithms. For large graphs, linear or near linear time algorithms might be required. In that regard, a  $(1 - \epsilon)$ -approximation can be computed in  $O(m/\epsilon)$  time by a version of the Hopcroft-Karp algorithm in the offline setting [14]. Arguably, such algorithms are not conceptually simple and require a reasonable understanding of the problem.

Our focus in this paper<sup>3</sup> is on “conceptually simple algorithms” with regard to variants of the online MBM problem. We will not define “conceptual simplicity” but claim that certain types of algorithms (e.g., greedy and local search) usually fall within this informal “you know it when you see it” concept. The online model is sometimes necessitated by applications, and can be studied with respect to a completely adversarial model, the random order model (ROM), or a distributional input model (e.g., known and unknown IID input models). In these models, the algorithm has no control over the ordering of the inputs and must make irrevocable decisions for each input item as it arrives. As such, online algorithms are a prime example of a conceptually simple algorithmic paradigm that can be extended in various ways leading to simple offline algorithms. These online extensions can provide improved performance both in terms of worst-case approximation ratios and in terms of performance on real data. See, for example, the experimental analysis of MaxSat provided by Poloczek and Williamson [35]. This still begs the question as to why we should restrict ourselves to conceptually simple algorithms when better offline algorithms are known.

While conceptually simple algorithms may not match the best approximations realized by more complex methods, they are usually very efficient (i.e., linear or near linear time with small constant factors) and often work well on realistic data exceeding worst-case approximation bounds. Conceptually simple algorithms can also be used as a preprocessing step for initializing a local search algorithm as in Chandra and Halldórsson [11]. Moreover, conceptually simple algorithms are easy to implement and modify with relatively little knowledge about the problem domain. Conceptual simplicity is arguably the main reason for the use of simple mechanisms in auctions (see, for example, Lucier and Syrgkanis [25]) and the success of MAPREDUCE [13] in distributed parallel applications.

We will consider two departures from the adversarial and distributional one-pass online models. In the first departure, we consider a multi-pass online algorithm generalizing the two-pass algorithm in Dürr et al. [15]. In this regard we are also motivated by the Poloczek et al. [34] two-pass algorithm for MaxSat. These multi-pass algorithms can be viewed as de-randomizations of known online algorithms and the Dürr et al. algorithm (and our extension) can also be viewed as an  $O(n)$  space semi-streaming algorithm. The second departure is that of priority algorithms [8], a model for greedy and more generally myopic algo-

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<sup>3</sup> The full version of the paper can be found on arXiv [9].

gorithms that extend online algorithms by allowing the algorithm to determine (in some well-defined way) the order of input arrivals. Other conceptually simple generalizations of the traditional online model are clearly possible, such as the ability to modify previous decisions and parallel executions of online algorithms.

**Adversarial Online Model.** In 1990, Karp, Vazirani, and Vazirani [24] studied MBM in the adversarial online setting. Any greedy algorithm (yielding a maximal matching) achieves a  $1/2$  approximation and no deterministic algorithm can do asymptotically better in the adversarial online model. Karp et al. gave a randomized online algorithm called RANKING and showed that it achieves a  $1 - 1/e \approx 0.632$  expected approximation ratio. Moreover, they proved that no randomized algorithm can beat  $1 - 1/e$  in the adversarial online model. After 17 years, a mistake in the analysis of RANKING was found independently by Krohn and Varadarajan and by Goel and Mehta. A correct proof was first given by Goel and Mehta [19], followed by many alternative proofs.

**Online Stochastic Models.** Feldman et al. [17] introduced the known IID model for MBM. Feldman et al. model statistics about the upcoming queries by the notion of a type graph  $G = (U, V, E)$  and a probability distribution  $p : U \rightarrow [0, 1]$ . The online nodes are sampled from  $p$  independently one at a time. An algorithm knows  $G$  and  $p$  beforehand. As before, an algorithm is required to make an irrevocable decision on how to match each arriving online node. In this setting, the adversary can only choose the type graph and the distribution  $p$  but doesn't have further control over the online sequence of nodes. Feldman et al. [17] provide an algorithm beating the  $1 - 1/e$  barrier achieving approximation ratio  $\approx 0.67$ . This work was followed by a long line of work including [3, 10, 20, 22, 29]. So far, the best approximation ratio for arbitrary arrival rates is  $\approx 0.706$  due to Jaillet and Lu [22].

**Semi-streaming Model.** Streaming algorithms are motivated by the necessity to process extremely large data streams. Much of the streaming literature concerns various forms of counting and statistics gathering. Semi-streaming algorithms are streaming algorithms designed for (say) graph search and optimization problems where the output itself requires  $\Omega(n)$  space and hence a realistic goal is to maintain  $\tilde{O}(n)$  space rather than space  $O(m)$ . Eggert et al. [16] provide a FPTAS multi-pass semi-streaming algorithm for MBM using space  $\tilde{O}(n)$  in the edge input model. In the vertex input semi-streaming model, Goel et al. [18] give a *deterministic*  $1 - 1/e$  approximation and Kapralov [23] proves that no semi-streaming algorithm can improve upon this ratio. (See also the recent survey by McGregor [30].) Streaming algorithms do not have to make online decisions but must maintain small space throughout the computation while online algorithms must make irrevocable decisions for each input item but have no space requirement. In some cases, streaming algorithms are designed so as to make results available at any time during the computation and hence some streaming algorithms can also be viewed as online algorithms as well. Conversely, any online algorithm that restricts itself to  $\tilde{O}(n)$  space can be considered as a streaming algorithm.

**Priority Model.** In the priority model [8], an input to the algorithm is represented as a set of items coming from some universe. The universe of items in the MBM problem is the set of all pairs (online node, its neighborhood). The algorithm orders the entire universe by defining a priority function mapping each possible input item to a real number. Then, the adversary picks an instance  $G$  and reveals the online nodes in increasing order as specified by the priority function value. In a fixed-order algorithm, there is one initial ordering of the items whereas in an adaptive-order algorithm, the ordering can be redefined in each iteration. The algorithm makes an irrevocable decision about each input item. This captures many offline greedy algorithms that make a single pass over input items. Many problems have been studied in the priority model [1, 5–7, 12, 33, 37]. In a *fully randomized priority algorithm* [1] both the ordering of the input items and the decisions for each item are randomized. When only the decisions are randomized (and the ordering is deterministic) we will simply say *randomized priority algorithm*. With regards to maximum matching, Aronson et al. [2] proved that an algorithm that picks a random vertex and matches it to a random available neighbor (if it exists) achieves approximation ratio  $1/2 + \epsilon$  for some  $\epsilon > 0$  in general graphs. Besser and Poloczek [5] show that the algorithm that picks a random vertex of minimum degree and matches it to a randomly selected neighbor cannot improve upon the  $1/2$  approximation ratio (with high probability) even for bipartite graphs. Pena and Borodin [32] show that no deterministic priority algorithm can achieve approximation ratio better than  $1/2$ . See [4, 32] with respect to the difficulty of proving inapproximation results for randomized priority algorithms.

**Advice Model.** Dürr et al. [15] studied the online MBM problem in the adversarial (tape) *advice model*. In the most unrestricted advice setting, the advice bits are set by an all-powerful oracle. Dürr et al. show that  $\Theta_\epsilon(n)$  advice bits are necessary and sufficient to guarantee approximation ratio  $1 - \epsilon$  for MBM. They also show that  $O(\log n)$  advice bits are sufficient for a deterministic advice algorithm to guarantee a  $1 - 1/e$  approximation ratio. Construction of the  $O(\log n)$  advice bits is based on examining the behavior of the RANKING algorithm on all  $n!$  possible random strings for a given input of length  $n$ , which requires exponential time. It is not known if there is an efficient way to construct  $O(\log n)$  advice bits. One may put computational or information-theoretic restrictions on the advice string, and ask what approximation ratios are achievable by online algorithms with restricted advice. Beyond their theoretical value, advice algorithms also give rise to classes of conceptually simple offline algorithms if the advice string is restricted to be efficiently computable. The Dürr et al. [15] deterministic advice algorithm CATEGORY-ADVICE achieves approximation ratio  $3/5$  using an  $n$ -bit advice string, where the advice string itself is computable by an online algorithm. This algorithm can be viewed as a 2-pass online algorithm.

**Our Online Multipass Results.** We generalize the CATEGORY-ADVICE algorithm to a  $k$ -PASS CATEGORY-ADVICE algorithm for  $k \geq 1$ . For each  $k \geq 1$ , we prove that the exact approximation ratio of  $k$ -PASS CATEGORY-ADVICE algorithm is  $F_{2k}/F_{2k+1}$ , where  $F_n$  is the  $n$ th Fibonacci number. Our bounds

show that the analysis of Dürr et al. for the 2-PASS CATEGORY-ADVICE algorithm was tight. Our result immediately implies that the approximation ratio of  $k$ -PASS CATEGORY-ADVICE converges to the inverse of the golden ratio  $2/(1 + \sqrt{5}) \approx 0.618$  as  $k$  goes to infinity.

**Our Results for the Known IID Model.** A greedy algorithm always matches an online vertex if it has at least one available neighbor. In the known IID model, we can consider greedy algorithms without loss of generality (see Remark 1). Moreover, greedy algorithms satisfying natural consistency conditions achieve approximation ratio at least  $1 - 1/e$ . Ties may occur in a greedy algorithm when an online node has more than one available neighbor. A good tie-breaking rule can improve the approximation ratio. Algorithms beating the  $1 - 1/e$  ratio are known in this model ([3, 10, 20, 22, 29]).<sup>4</sup> They are usually stated as non-greedy algorithms, but using a general conversion they can be turned into greedy algorithms, albeit with somewhat unnatural tie-breaking rules. These algorithms have polynomial time preprocessing step and, thus, are feasible from a theoretical point of view, but might not be feasible from a practical point of view on large inputs. Moreover, we argue that these algorithms are not that “conceptually simple.” We study a deterministic greedy online algorithm MINDEGREE using a natural, conceptually simple, and efficient tie-breaking rule. This algorithm is motivated by the offline matching algorithm MINGREEDY [36]. We show that MINDEGREE does not beat the  $1 - 1/e$  approximation achieved by any consistent greedy algorithm.

**Our Results for the Priority Model.** The RANKING algorithm in the ROM model is an instance of a fully randomized priority algorithm. The ordering of the online vertices is simply a uniform random permutation of the set of adversarially chosen input items. Is there a more “informed” way to deterministically or randomly choose the ordering within the priority framework? A natural idea is to give priority to the online nodes having the smallest degree since intuitively they seem to be the hardest to match if not seen early. Our intuition turns out to be misleading. We show that giving priority to nodes having the smallest degree using some deterministic (or the uniform random tie-breaking) rule cannot match the approximation achieved by a uniform ordering of the online nodes. In contrast to the 2-PASS CATEGORY-ADVICE  $3/5$  approximation, our analysis can also be used to show that a deterministic two-pass algorithm that computes the degree of the offline vertices in the first pass and then reorders the offline vertices according to non-decreasing degree (breaking ties by the initial ordering) will not achieve an asymptotic approximation ratio better than  $1/2$ .

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<sup>4</sup> In fact, it is easy to see that there is an optimal tie-breaking rule that can be computed by dynamic programming. Unfortunately, the size of the dynamic programming table is exponentially large, and moreover, currently it is not known how to analyze such optimal tie-breaking rules.

## 2 Preliminaries

$G = (U, V, E)$  denotes a bipartite graph where  $U, V \subset \mathbb{N}$  form a partition of the vertices, and edges are  $E \subseteq U \times V$ . We consider the MBM in various online models.  $U$  represents the online vertices that are revealed one at a time, and  $V$  represents the offline vertices. A vertex  $u$  from  $U$  is revealed together with all edges incident on it. The online models differ in how vertices in  $U$  and their arrivals are chosen — adversarially, sampled from a known distribution, or via a limited adversary.

Let  $M \subseteq E$  be some matching in  $G$ . For  $u \in U$ , we write  $u \in M$  when there exists  $v \in V$  such that  $(u, v) \in M$ . Similarly, we write  $v \in M$  when there exists  $u \in U$  such that  $(u, v) \in M$ . For  $u \in M$ , we write  $M(u)$  to indicate the neighbor of  $u$  in  $M$ . We write  $\text{OPT}(G)$  to stand for an offline optimum matching in  $G$ . Given an algorithm  $\text{ALG}$ , we let  $\text{ALG}(G)$  stand for the matching returned by the algorithm  $\text{ALG}$  on input  $G$ . Abusing notation, we will also use  $\text{ALG}(G)$  (resp.  $\text{OPT}(G)$ ) to stand for the size of the matching returned by the algorithm on  $G$  (resp. by  $\text{OPT}(G)$ ).

**Definition 1.** We define asymptotic approximation ratio of an algorithm  $\text{ALG}$  as  $\text{AR}(\text{ALG}) = \lim_{\mathbb{E}[\text{OPT}(G)] \rightarrow \infty} \inf_G \frac{\mathbb{E}[\text{ALG}(G)]}{\mathbb{E}[\text{OPT}(G)]}$ , where the expectation is over the input distribution and randomness of the algorithm. Approximation ratios in other models (e.g., adversarial input, deterministic algorithms, etc.) are defined analogously.

An online (or priority) MBM algorithm is greedy if whenever a newly arriving online node has at least one available neighbor the algorithm matches the arrived node to one of its neighbors.

*Remark 1.* Any online (or priority) algorithm for MBM achieving approximation ratio  $\rho$  (in an adversarial or stochastic input setting) can be turned into a greedy algorithm achieving approximation ratio  $\geq \rho$ . Informally, the idea is a simulation of the non-greedy algorithm in which we replace any non-greedy decision by forcing a match while remembering the configuration of the non-greedy algorithm.

In the graphs  $G = (U, V, E)$  that we consider, we shall often refer to the so-called “parallel edges.” Let  $U' = \{u'_1, \dots, u'_k\} \subseteq U$  and  $V' = \{v'_1, \dots, v'_k\} \subseteq V$  be two distinguished subsets such that for all  $i \in [k]$  we have  $(u'_i, v'_i) \in E$  (there might be other edges incident on  $U'$  and  $V'$ ). The parallel edges between  $U'$  and  $V'$  are precisely the edges  $(u'_i, v'_i)$ .

### 2.1 The Ranking Algorithm

In the adversarial model, graph  $G$  as well as an order  $\pi$  of its online vertices  $U$  is chosen by an adversary. Karp, Vazirani, and Vazirani [24] presented RANKING (see Algorithm 1). They showed that  $\text{AR}(\text{RANKING}) = 1 - 1/e$  and that no randomized algorithm can do better in the adversarial model. RANKING samples

a permutation  $\sigma$  of the offline nodes uniformly at random and runs a greedy algorithm on  $G$  breaking ties using  $\sigma$ . That is, when  $u$  arrives, if  $u$  has many unmatched neighbors  $v$  then  $u$  is matched with  $v$  that minimizes  $\sigma(v)$ . We write  $\text{RANKING}(\pi, \sigma)$  to denote the matching returned by  $\text{RANKING}$  given  $\pi$  and  $\sigma$ . When  $\pi$  is clear from the context we will omit it and simply write  $\text{RANKING}(\sigma)$ .

## 2.2 Known IID Model with Uniform Probability Distribution

In the known IID model,  $G = (U, V, E)$  is a *type graph* and nodes  $U$  are referred to as “types.” The type graph specifies the distribution from which the actual *instance graph*  $\hat{G} = (\hat{U}, \hat{V}, \hat{E})$  is generated. An instance graph is generated by setting  $\hat{V} = V$ , sampling each  $\hat{u} \in \hat{U}$  IID uniformly from  $U$ , and letting  $(\hat{u}, \hat{v}) \in \hat{E}$  if and only if the corresponding  $(u, v) \in E$ . Each  $\hat{u}$  is drawn IID from  $U$  with replacement, thus it is

possible that the same  $u \in U$  appears multiple times in  $\hat{U}$ . The type graph is chosen adversarially and is revealed to the algorithm in advance. Nodes  $\hat{u}$  are generated and presented to the algorithm one at a time. The algorithm makes an irrevocable decision on how to match  $\hat{u}$  (if at all). More general versions of this model have been defined and studied, but we won’t need them in this paper.

A basic guarantee on the performance of a greedy algorithm in the known IID model holds as long as its tie-breaking rules satisfy natural consistency conditions. These conditions are somewhat technical, so we defer them to the full version of the paper [9]. Goel and Mehta [19] proved that such greedy algorithms achieve approximation at least  $1 - 1/e$  in the known IID model.<sup>5</sup>

## 3 Deterministic Multipass Online Algorithms

To break through the  $1/2$  barrier of the adversarial online model, Dürr et al. [15] modify the model to allow for a second pass over the input. They give a deterministic 2-pass algorithm, called  $\text{CATEGORY-ADVICE}$ , that achieves a  $3/5$  approximation ratio.  $\text{CATEGORY-ADVICE}$  belongs to the class of  $\text{RANKING}$ -based algorithms called category algorithms that were introduced in the work of Dürr et al. A category algorithm considers a permutation  $\sigma$  of the offline nodes. Instead of running  $\text{RANKING}$  directly with  $\sigma$ , a category function  $c : V \rightarrow \mathbb{Z} \cup \{\pm\infty\}$  is

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**Algorithm 1** The  $\text{RANKING}$  algorithm.

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procedure  $\text{RANKING}(G = (U, V, E))$ 
  Sample permutation  $\sigma : V \rightarrow V$ 
  uniformly at random
  for all  $u \in U$  do
    When  $u$  arrives, set
     $N(u) = \{\text{unmatched } v \text{ s.th. } (u, v) \in E\}$ 
    if  $N(u) \neq \emptyset$  then
       $v^* = \arg \min_v \{\sigma(v) \mid v \in N(u)\}$ 
      match  $u$  with  $v^*$ 

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<sup>5</sup> The Goel and Mehta [19] result is even stronger as it holds for the ROM model.

computed first. The updated permutation  $\sigma_c$  is the unique permutation satisfying the following defining property: for all  $v_1, v_2 \in V$ , we have  $\sigma_c(v_1) < \sigma_c(v_2)$  if and only if  $c(v_1) < c(v_2)$  or  $(c(v_1) = c(v_2) \text{ and } \sigma(v_1) < \sigma(v_2))$ . Then  $\text{RANKING}(\sigma_c)$  is performed with  $\sigma_c$  as the permutation of the offline nodes.

The  $\text{CATEGORY-ADVISE}$  algorithm starts with an arbitrary permutation  $\sigma$ , e.g.,  $\sigma$  could be induced by the names of nodes  $V$ . In the first pass, the algorithm runs  $\text{RANKING}$  with  $\sigma$ . Let  $M$  be the matching obtained in the first pass. The category function  $c : V \rightarrow [2]$  is then defined as follows:  $c(v) = 1$  if  $v \notin M$  and  $c(v) = 2$  otherwise. In the second pass, the  $\text{CATEGORY-ADVISE}$  algorithm runs  $\text{RANKING}(\sigma_c)$ . The output of the second run of  $\text{RANKING}$  is declared as the output of the  $\text{CATEGORY-ADVISE}$  algorithm. In other words, in the second pass the algorithm gives preference to those vertices that were **not** matched in the first pass. (We observe that the Besser-Poloczek graphs, see the full version of the paper [9], show that the category function  $c(v) = \text{degree of } v$  will not yield an asymptotic approximation better than  $1/2$ .)

We present a natural extension of the  $\text{CATEGORY-ADVISE}$  algorithm to multiple passes, called  $k$ -PASS  $\text{CATEGORY-ADVISE}$  (see Algorithm 2). Let  $F_n$  denote the  $n$ th Fibonacci number, i.e.,  $F_1 = F_2 = 1$ , and  $F_n = F_{n-1} + F_{n-2}$  for  $n \geq 3$ . For each  $k \geq 1$ , we prove that the  $k$ -PASS  $\text{CATEGORY-ADVISE}$  algorithm<sup>6</sup> achieves the approximation ratio  $F_{2k}/F_{2k+1}$ . Moreover, we show that this is tight, i.e., there exists a family of bipartite graphs, one for each  $k \geq 1$ , such that the  $k$ -PASS  $\text{CATEGORY-ADVISE}$  algorithm computes a matching that is  $F_{2k}/F_{2k+1}$  times the size of the maximum matching. In particular, we show that the analysis of the  $3/5$  approximation ratio in [15] is tight. It immediately follows that as  $k$  goes to infinity, the approximation guarantee of the  $k$ -PASS  $\text{CATEGORY-ADVISE}$  algorithm converges (quickly) to the inverse of the golden ratio  $2/(1 + \sqrt{5}) \approx 0.618$ . The main theorem of this section is

**Theorem 1.** *The exact approximation ratio of the  $k$ -PASS  $\text{CATEGORY-ADVISE}$  algorithm is  $F_{2k}/F_{2k+1}$ , where  $F_n$  is the  $n$ th Fibonacci number. Thus, the approximation ratio of the  $k$ -PASS  $\text{CATEGORY-ADVISE}$  algorithms tends to the inverse of the golden ratio  $2/(1 + \sqrt{5}) \approx 0.618$  as  $k$  goes to infinity. This holds even when  $k$  depends on  $n$  arbitrarily. The algorithm can be realized as a  $k$ -pass semi-streaming algorithm using  $O(n \log k)$  space, which is  $O(n)$  for constant  $k$ .*

### 3.1 Positive Result

The pseudocode for  $k$ -PASS  $\text{CATEGORY-ADVISE}$  appears in Algorithm 2. The algorithm is defined iteratively with each iteration corresponding to a new pass. The algorithm initializes  $\sigma$  of the offline nodes to the identity permutation. The algorithm maintains a category function  $c : V \rightarrow \mathbb{Z} \cup \{\pm\infty\}$ . Initially,  $c$  is set to

<sup>6</sup> A notable feature of this multi-pass algorithm is that after pass  $i$ , the algorithm can deterministically commit to matching a subset of size  $\frac{F_{2i}}{F_{2i+1}}|M|$  where  $M$  is a maximum matching. This follows from a certain monotonicity property. See the full version of the paper for details [9].

$-\infty$  everywhere. In the  $i$ th pass, the algorithm runs RANKING on  $\sigma_c$ . Let  $M_i$  be the resulting matching. For each  $v \in V$ , if  $c(v) = -\infty$  and  $v \in M_i$  then  $c(v)$  is updated to  $-i$ . The algorithm uses the updated  $c$  in the next pass. In words,  $c$  records for each vertex  $v$  the (negative of the) first pass in which  $v$  was matched. In the subsequent pass, the algorithm gives preference to the nodes that were unmatched, followed by nodes that were matched for the first time in the latest round, etc.

**Lemma 1.** *The  $k$ -PASS CATEGORY-ADVICE algorithm achieves approximation ratio  $F_{2k}/F_{2k+1}$ .*

*Proof (by induction on  $k$ ).*  
 Base case:  $k = 1$ . The 1-PASS CATEGORY-ADVICE algorithm is the simple deterministic greedy algorithm, which achieves a  $1/2 = F_2/F_3$  approximation ratio.

Inductive step. Consider the  $(k + 1)$ -PASS CATEGORY-ADVICE algorithm running on  $G = (U, V, E)$ . WLOG, we may assume that  $|U| = |V|$  and  $G$  has a perfect matching (see the full version of the paper [9]).

Let  $U_1 \subseteq U$  be the set of nodes matched in the first pass of the algorithm. Let  $V_1 \subseteq V$  be the nodes that  $U_1$  nodes are matched to. Define  $U_2 = U \setminus U_1$  and  $V_2 = V \setminus V_1$ . Note that there are no edges between  $U_2$  and  $V_2$ ; otherwise some node of  $U_2$  would have been matched to some node of  $V_2$  in the first round. Let  $M_i$  be the matching found by the  $(k + 1)$ -PASS CATEGORY-ADVICE algorithm in round  $i$ , where  $i \in [k + 1]$ . Also define  $M_{11} = M_{k+1} \cap U_1 \times V_1$ ,  $M_{12} = M_{k+1} \cap U_1 \times V_2$ , and  $M_{21} = M_{k+1} \cap U_2 \times V_1$ . We are interested in bounding  $|M_{k+1}| = |M_{11}| + |M_{12}| + |M_{21}|$ . See Figure 1.

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**Algorithm 2**  $k$ -PASS CATEGORY-ADVICE.

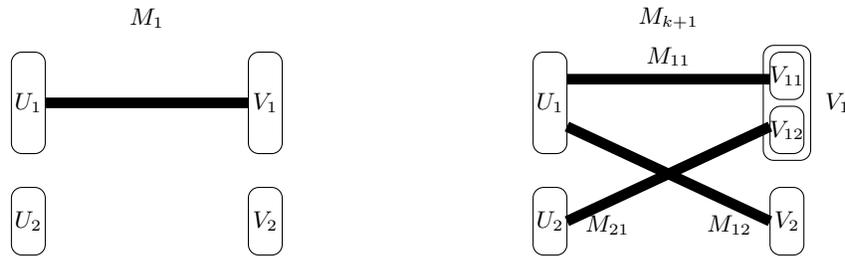
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procedure  $k$ -PASS CATEGORY ADVICE
   $\sigma \leftarrow$  the identity permutation of  $V$ 
  initialize array  $c$  of size  $V$  with  $-\infty$ 
  for  $i$  from 1 to  $k$  do
    Run pass  $i$ :  $M_i \leftarrow$  RANKING( $\sigma_c$ )
    for  $v \in V$  do
      if  $c(v) = -\infty$  and  $v \in M_i$  then
         $c(v) \leftarrow -i$ 
  return  $M_k$ 

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**Fig. 1.**  $G$  is the input graph. On the left we show the matching constructed in the first pass. On the right we show the matching constructed in the  $k + 1$ st pass.

After the first round, nodes in  $U_1$  prefer nodes from  $V_2$  to those from  $V_1$ . Moreover, nodes in  $V_2$  are only connected to nodes in  $U_1$  and there is a perfect matching between  $V_2$  and a subset of  $U_1$ . Thus, the matching constructed between  $U_1$  and  $V_2$  in the next  $k$  passes is the same as if we ran  $k$ -PASS CATEGORY-ADVISE algorithm on the subgraph of  $G$  induced by  $U_1 \cup V_2$ . This implies that  $|M_{12}| \geq (F_{2k}/F_{2k+1})|V_2| = (F_{2k}/F_{2k+1})|U_2|$ .

By the monotonicity property (see the full version of the paper [9]), in the  $k + 1$ st pass, all nodes from  $U_1$  that were not matched with  $V_2$  will be matched with some nodes in  $V_1$ , i.e.,  $|U_1| = |M_{12}| + |M_{11}|$ . Let  $V_{11}$  be such a set, and let  $V_{12} = V_1 \setminus V_{11}$ . To lower bound  $|M_{21}|$ , we first lower bound the size of a maximum matching between  $U_2$  and  $V_{12}$ . Since  $U_2$  is only connected to  $V_1$  and since there is a perfect matching, a maximum matching between  $U_2$  and  $V_1$  is of size  $|U_2|$ . Thus, the size of a maximum matching between  $U_2$  and  $V_{12}$  is at least  $|U_2| - |V_{11}|$ . Also, observe that  $|V_{11}| = |V_1| - |V_{12}|$  and  $|V_{12}| = |M_{12}|$ . Therefore, the size of a maximum matching between  $U_2$  and  $V_{12}$  is at least  $|U_2| - (|V_1| - |M_{12}|) = |U_2| - |U_1| + |M_{12}|$  (note that  $|U_1| = |V_1|$ ). Finally in the last round, the algorithm constructs a maximal matching between  $U_2$  and  $V_{12}$  guaranteeing that  $|M_{21}| \geq (1/2)(|U_2| - |U_1| + |M_{12}|)$ . Putting it all together, we obtain  $|M_{k+1}| = |M_{11}| + |M_{12}| + |M_{21}| = |U_1| - |M_{12}| + |M_{12}| + |M_{21}| \geq |U_1| + \frac{1}{2}(|U_2| - |U_1| + |M_{12}|) \geq \frac{1}{2} \left( |U_2| + |U_1| + \frac{F_{2k}}{F_{2k+1}}|U_2| \right) = \frac{1}{2} \left( n + \frac{F_{2k}}{F_{2k+1}}(n - |M_1|) \right) = \left( \frac{1}{2} + \frac{F_{2k}}{F_{2k+1}} \right) n - \frac{F_{2k}}{2F_{2k+1}}|M_1|$ .

By the monotonicity property we also have that  $|M_{k+1}| \geq |M_1|$ . Thus, we derive  $|M_{k+1}| \geq \max \left\{ |M_1|, \left( \frac{1}{2} + \frac{F_{2k}}{F_{2k+1}} \right) n - \frac{F_{2k}}{2F_{2k+1}}|M_1| \right\}$ . This implies that  $|M_{k+1}| \geq \frac{1/2 + F_{2k}/F_{2k+1}}{1 + F_{2k}/(2F_{2k+1})} n = \frac{F_{2k+1} + F_{2k}}{2F_{2k+1} + F_{2k}} n = \frac{F_{2(k+1)}}{F_{2(k+1)+1}} n$ .

### 3.2 Negative Result

In this subsection, we construct a family of bipartite graphs  $(G_k)_{k=1}^{\infty}$  with the following properties<sup>7</sup>: (1)  $G_k$  has exactly  $F_{2k+1}$  online nodes and  $F_{2k+1}$  offline nodes, (2)  $G_k$  has a perfect matching, (3) the  $k$ -PASS CATEGORY-ADVISE algorithm finds a matching of size  $F_{2k}$ , (4) for all  $k' > k$ , the  $k'$ -PASS CATEGORY-ADVISE algorithm finds a matching of size  $F_{2k} + 1$ .

This family of graphs shows that our analysis of  $k$ -PASS CATEGORY-ADVISE is tight. The construction of the  $G_k$  is recursive. The base case is given by  $G_1$ , which is depicted in Figure 2. The online nodes are shown on the left whereas the offline nodes are on the right. The online nodes always arrive in the order shown on the diagram from top to bottom, and the initial permutation  $\sigma$  of the offline nodes is also given by the top to bottom ordering of the offline nodes on the diagram.

<sup>7</sup> The last property allows us to conclude that the approximation ratio of  $k$ -PASS CATEGORY-ADVISE converges to the inverse of the golden ratio even when  $k$  is allowed to depend on  $n$  arbitrarily.

In the recursive step, we construct  $G_{k+1}$  from  $G_k$ . The online nodes  $U$  of  $G_{k+1}$  are partitioned into three disjoint sets  $U = U_1 \cup U_2 \cup U_3$  such that  $|U_1| = |U_3| = F_{2k+1}$  and  $|U_2| = F_{2k}$ . Similarly, the offline nodes  $V$  of  $G_{k+1}$

are partitioned into three disjoint sets  $V = V_1 \cup V_2 \cup V_3$  such that  $|V_1| = |V_3| = F_{2k+1}$  and  $|V_2| = F_{2k}$ . There is a copy of  $G_k$  between  $U_1$  and  $V_3$ .  $U_2$  and  $V_2$  are connected by parallel edges. There is a complete bipartite graph between  $U_1$  and  $V_1$ , as well as between  $U_2$  and  $V_1$ . Finally,  $U_3$  is connected to  $V_1$  by parallel edges. The construction is depicted in Figure 3.

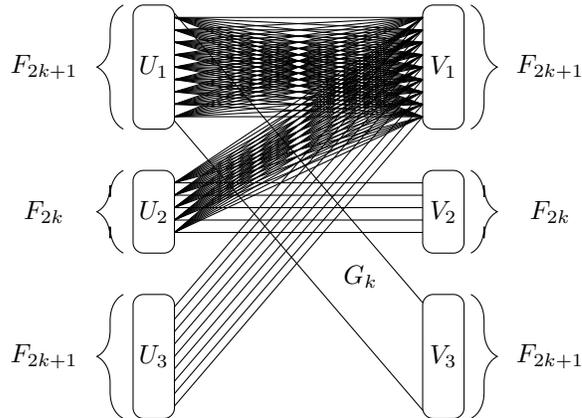


**Fig. 2.**  $G_1$  is used for the basis of the induction.

**Lemma 2.** *Properties (1-4) mentioned at the beginning of this subsection hold for the  $G_k$ .*

*Proof (by induction on  $k$ ).* Base case:  $k = 1$ .  $G_1$  has 2 online and 2 offline nodes and  $F_3 = 2$ . Clearly,  $G_1$  has a perfect matching. The 1-PASS CATEGORY-ADVICE algorithm is the regular greedy algorithm, which returns a matching of size 1 on  $G_1$ , and  $F_2 = 1$ . Lastly, 2-PASS CATEGORY-ADVICE finds a matching of size  $F_2 + 1 = 2$  and adding more passes does not change anything.

*Inductive step.* Assume that properties (1-4) hold for  $G_k$ . The number of online vertices of  $G_{k+1}$  is equal to the number of offline vertices and is equal to  $F_{2k+1} + F_{2k} + F_{2k+1} = F_{2k+2} + F_{2k+1} = F_{2k+3} = F_{2(k+1)+1}$ . By inductive assumption,  $G_k$  has a perfect matching. Therefore,  $U_1$  vertices can be matched with  $V_3$  via the perfect match-



**Fig. 3.**  $G_{k+1}$  shows the inductive construction.

ing given by  $G_k$ . In addition,  $U_2$  can be matched with  $V_2$  by parallel edges, and  $U_3$  can be matched with  $V_1$  by parallel edges as well. Thus,  $G_{k+1}$  has a perfect matching. Thus, we proved properties 1 and 2. To prove the 3rd property, observe that in the first pass, the  $(k + 1)$ -PASS CATEGORY-ADVICE algorithm matches  $U_1$  and  $V_1$  by parallel edges,  $U_2$  with  $V_2$  by parallel edges, and leaves  $U_3$  and  $V_3$  unmatched. Since  $V_3$  is only connected to the nodes  $U_1$ , in the next  $k$  passes the behavior of the algorithm between  $U_1$  and  $V_3$  nodes is exactly that of the  $k$ -PASS CATEGORY-ADVICE algorithm. Therefore, by the inductive assumption, the algorithm is going to match exactly  $F_{2k}$  nodes from  $U_1$  with the nodes in

$V_3$ . The remaining  $F_{2k+1} - F_{2k}$  nodes from  $U_1$  will be matched to the nodes in  $V_1$  (since those are the only neighbors of  $U_1$  nodes besides the nodes from  $V_3$ ). The nodes from  $U_2$  in all passes behave the same way – they prefer  $V_1$  nodes to  $V_2$  nodes. Thus, since  $V_1$  will have  $F_{2k}$  nodes unmatched after processing all nodes of  $U_1$  in the last round, all of  $U_2$  nodes will be matched to  $V_1$  in the last round. This implies that after processing  $U_1$  and  $U_2$  in the last round, all of  $V_1$  nodes are matched. Therefore, none of  $U_3$  nodes can be matched. Thus, the matching found by the  $(k + 1)$ -PASS CATEGORY-ADVICE algorithm on  $G_{k+1}$  is of size  $|U_1| + |U_2| = F_{2k+1} + F_{2k} = F_{2k+2} = F_{2(k+1)}$ . The last property is proved similarly.

## 4 MinDegree Algorithm in the Known IID Model

Our algorithm is MINDEGREE (see Algorithm 3). The motivation for studying this algorithm is as follows. It is easy to see that in the adversarial setting we can take any greedy algorithm, modify the tie-breaking rule to always give more preference to the offline nodes of degree 1, and this will not decrease the approximation ratio of the algorithm. Generalizing this, we conclude that online vertices should give preference to the

offline vertices of smaller degrees. The problem is that in the adversarial setting, degrees of the offline nodes are not known a priori. However, in the known IID setting we can estimate the degrees of the offline vertices from the type graph. This is precisely what MINDEGREE formalizes. The algorithm is given a type graph  $G = (U, V, E)$  as input. It keeps track of a set  $S$  of currently “active”, i.e., not yet matched, offline nodes. When a new node  $\tilde{u}$  arrives, it is matched to its active neighbor of minimum degree in the type graph.

*Remark 2.* Our algorithm does not fully break ties, i.e., MINDEGREE takes *some* neighbor of currently minimum degree. In practice, it means that ties are broken in some arbitrary way, e.g., by names of vertices. In our theoretical analysis, this means that the adversary is more powerful, as it can decide how the algorithm breaks these ties.

MINDEGREE is a conceptually simple and promising algorithm in the known IID setting. Indeed, a version of MINDEGREE called MINGREEDY has been extensively studied in the offline setting (see Besser and Poloczek [5] and references therein). Unfortunately, in spite of having excellent empirical performance as well

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**Algorithm 3** The MINDEGREE algorithm.

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**procedure** MINDEGREE( $G = (U, V, E)$ )

Let  $S = V$  be the set of active offline nodes

**repeat**

Let  $\hat{u}$  denote the arriving online node

Let  $N(\hat{u}) = \{v \in S \mid (u, v) \in E\}$

**if**  $N(\hat{u}) \neq \emptyset$  **then**

Let  $v = \arg \min_{v \in N(\hat{u})} \deg(v)$

Match  $\hat{u}$  with  $v$

Remove  $v$  from  $S$

**until** all online nodes have been processed

---

as excellent performance under various random input models, MINGREEDY has a bad worst-case approximation ratio of  $1/2$  in the offline adversarial setting [5]. As far as we know, this algorithm has not been analyzed in the known IID model. We obtain a result that, in spirit, is similar to the offline result, but the proof is different. Namely, we show that MINDEGREE cannot achieve an approximation ratio better than  $1 - 1/e$ , which is guaranteed by any consistent greedy algorithm in the known IID model. See the full version of the paper [9] for the proof of the following result.

**Theorem 2.**

$$\text{AR}(\text{MINDEGREE}) = 1 - \frac{1}{e}$$

*The negative result holds no matter which rule is used to break (remaining) ties in MINDEGREE.*

## 5 MinRanking – A Hybrid Algorithm in the Priority Model

We propose a conceptually simple greedy algorithm for MBM. Our algorithm is a natural hybrid between two well-known algorithms—RANKING and MINGREEDY. We have already encountered MINGREEDY in this paper (see Section 1 and Section 4). MINGREEDY is an offline algorithm that selects a random vertex of minimum degree in the input graph and matches it with a random neighbor, removes the matched vertices, and proceeds. In a natural adaptation of MINGREEDY to bipartite graphs  $G = (U, V, E)$ , the algorithm picks a random node of minimum degree from  $U$  and matches it to a random neighbor from  $V$ . Observe that this algorithm can be realized as a fully randomized priority algorithm, where the ordering of the input items is by increasing degree with ties broken randomly. See the full version of the paper [9] for the pseudocode.

Karp, Vazirani, Vazirani [24] exhibited a family of graphs, on which RANKING gets its worst approximation ratio  $1 - 1/e$ . MINGREEDY finds a perfect matching on these graphs. Thus, it is natural to consider the performance of an algorithm that combines RANKING and MINGREEDY. This is our proposed MINRANKING algorithm (see Algorithm 4). In MINRANKING, a random permutation  $\pi$  of vertices  $V$  is initially sampled. Then, nodes in  $U$  are processed in the increasing order of their current degrees (cur deg) with ties broken randomly. When a node  $u$  is processed, it is matched with its neighbor appearing earliest in the ordering  $\pi$ .

MINRANKING modifies MINGREEDY in the same way that the online RANKING algorithm modifies the seemingly more natural online randomized algorithm that simply matches an online vertex to an available neighbor uniformly at random which surprisingly was shown to be (asymptotically) a  $1/2$  approximation. So it is hopeful that MINRANKING can improve upon MINGREEDY. Like MINGREEDY, our algorithm can be implemented and analyzed in the fully randomized adaptive priority model [8]. Since our algorithm is a generalization

of RANKING, its asymptotic approximation ratio is at least  $1 - 1/e \approx 0.632$ . We show that the asymptotic approximation ratio of this algorithm is at most  $1/2 + 1/(2e) \approx 0.684$ , as witnessed by the construction of Besser and Poloczek [5].

**Theorem 3.**

$$1 - \frac{1}{e} \leq \text{AR}(\text{MINRANKING}) \leq \frac{1}{2} + \frac{1}{2e}.$$

This negative result shows that MINRANKING falls short of the known bound for RANKING in the ROM model, where it achieves approximation ratio 0.696 [28]. From our result it follows that a deterministic ordering of the online nodes by non-decreasing degree (breaking ties by the given initial labeling of those nodes) will also fall short. That is (similar to the result in [32] for deterministic decisions), a naive randomized ordering can be better than a seemingly informed deterministic ordering. See the full version of the paper [9] for more details.

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**Algorithm 4** The MINRANKING algorithm.

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procedure MINRANKING( $G = (U, V, E)$ )
  Pick a permutation  $\pi : V \rightarrow V$  at random
  repeat
    Let  $d = \min\{\text{cur deg}(i) \mid i \in U\}$ 
    Let  $S = \{i \in U \mid \text{cur deg}(i) = d\}$ 
    Pick  $i \in S$  uniformly at random
    Let  $N(i)$  be the set of neighbors of  $i$ 
    if  $N(i) = \emptyset$  then
       $i$  remains unmatched
      Delete  $i$  from  $G$ 
    else
       $j = \arg \min_k \{\pi(k) \mid k \in N(i)\}$ 
      Match  $i$  with  $j$ 
      Delete  $i$  and  $j$  from  $G$ 
      Update cur deg
  until  $U = \emptyset$ 

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## 6 Conclusion and Open Problems

We have considered a number of “online-based” algorithms for the MBM problem. We believe that the algorithms considered in this paper all pass the intuitive “you know it when you see it” standard for conceptually simple algorithms. In particular, these algorithms take linear time in the number of edges and are very easy to implement. Even given the restricted nature of these algorithms, it is a challenge to understand their performance.

Our results for the MBM, in conjunction with the results in Poloczek et al. [34] for MaxSat, show both the promise and limitations of conceptually simple algorithms. Many open problems are suggested by this work. Clearly, any problem studied in the competitive online literature can be considered within the expanded framework of conceptually simple algorithms. For what problems is there a general method for de-randomizing online algorithms? Is there a precise algorithmic model that lends itself to analysis and captures multi-pass algorithms? And in addition to worst-case and stochastic analysis, how would any of the conceptually simple MBM algorithms perform “in practice?”

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